



# Audit Management

Today, industry is struggling with complex regulations and external pressures that are constantly changing. Companies have numerous audits they need to conduct to stay in compliance, while identifying risks and efficiently closing out findings and recommendations.

Further, companies want to audit the effectiveness of management systems to determine adherence as a function of governance for core business processes.



**Audit Management (AM)** is a comprehensive platform for auditing (i.e. API process safety site assessment, mechanical integrity, EH&S, inventory, supply chain, maintenance, DOT, operations). The Operational Sustainability, LLC® (OS) solution comes with protocols and includes third-party content integration. Audit Management also includes specialized protocols to enable your organization to evaluate the effectiveness of key management systems elements within our platform. All of the audit findings and recommendations can be exported to and managed within our **Corrective and Preventive Action (CAPA), Task Management (TM), and Management of Change (MOC) Modules in OESuite™.**

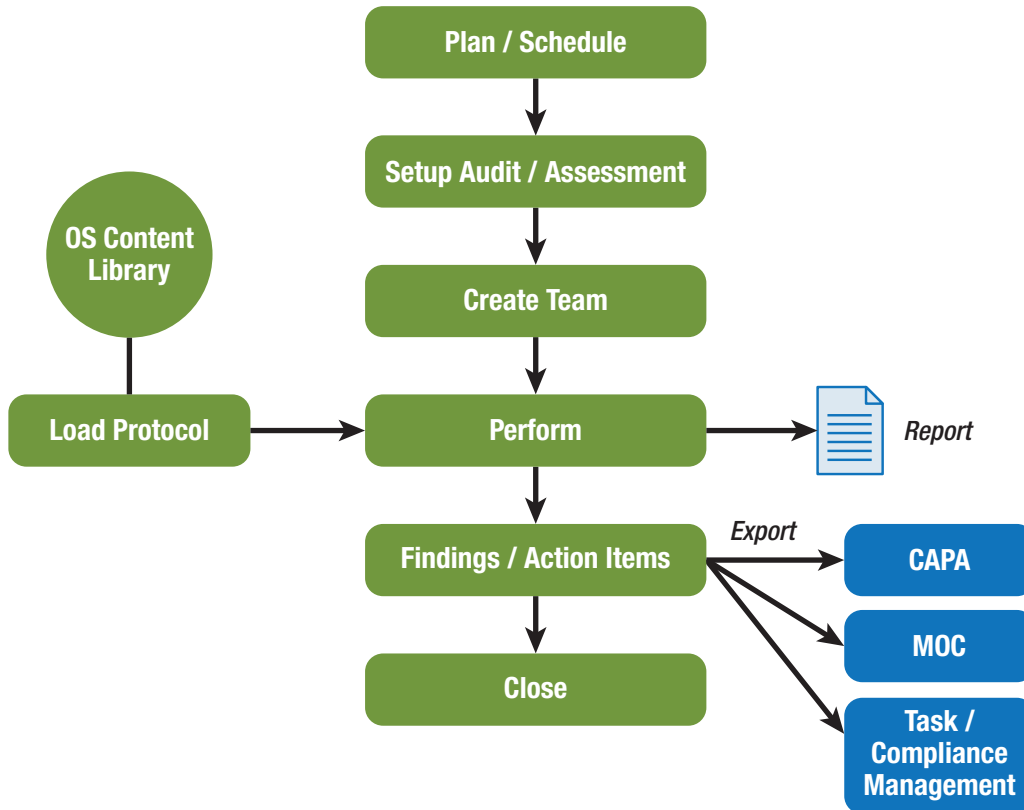
The screenshot displays the 'AUDIT' management interface. At the top, there are fields for 'Entity' (set to 'Demo - Environmental SPCC Audit'), 'Audit Type' (set to 'Environmental'), and 'Status' (set to 'Review Recommendation(s)'). A progress bar shows '2/5 (40%)'. Below these are fields for 'Description', 'Initiator' (Administrator, OESuite), and 'Date Initiated' (10/27/2015). A navigation bar includes 'Audit Preparation', 'Perform Audit', 'Review Recommendation(s)', 'Document', and 'Workflow'. The 'PERFORM AUDIT' section shows a selected protocol 'Spill Prevention Control and Countermeasure' and a category 'AdHoc; General; North Laydown'. A table of audit questions is visible, with columns for 'Category', 'Citation/Reference #', 'Question', 'Notes', 'Weigh', 'Respons', 'Respon', 'Comment', and 'ATCH'. Two questions are shown: 'What area of the refinery is being audited?' and 'Is each dike free of oil or accumulation of oil?'. To the right, an 'SSRS REPORT' window is open, displaying an 'Audit List' table.

Entity	Audit #	Title	Audit Type	Date Initiated	Initiator	Audit Date	Status
Houston Plant	2013-AUD-00002	MI Audit	General	08/28/2013	Smith, John A	08/05/2013	Manage Recommendation(s)
Houston Plant	2015-AUD-00012	2015 Oct Monthly Audit	General	10/10/2015	Administrator, OESuite		Closed
Houston Plant	2015-AUD-00013	2015 3rd Quarter Audit	General	10/12/2015	Administrator, OESuite		Audit Preparation
Houston Plant	2015-AUD-00014	Demo - 2015 Nov Monthly Audit	General	10/12/2015	Administrator, OESuite	11/03/2015	Manage Recommendation(s)
Houston Plant	2015-AUD-00014	Weekly Audit	General	10/12/2015	Administrator, OESuite		Audit Preparation
Houston Plant	2015-AUD-00015	Quarterly Audit	General	10/27/2015	Administrator, OESuite		Audit Preparation
Houston Plant	2015-AUD-00016	Weekly Audit	General	10/27/2015	Administrator, OESuite		Audit Preparation
Houston Plant	2015-AUD-00017	Weekly Audit	General	10/27/2015	Administrator, OESuite		Audit Preparation
Houston Plant	2015-AUD-00018	Weekly Audit	General	10/27/2015	Administrator, OESuite		Audit Preparation
Houston Plant	2015-AUD-00019	Weekly Audit	General	11/01/2015	Administrator, OESuite		Audit Preparation
Houston Plant	2015-AUD-00020	Monthly Audit	General	11/05/2015	Administrator, OESuite		Audit Preparation
Houston Plant	2015-AUD-00021	Weekly Audit	General	11/08/2015	Administrator, OESuite		Audit Preparation
Houston Plant	2015-AUD-00022	Weekly Audit	General	11/15/2015	Administrator, OESuite		Audit Preparation

Through our **Audit Mobile Module**, field personnel can conduct audits and assessments from the field.



The Audit Management Process



Extended OESuite™ Modules



CAPA / Action Item Management



Management of Change



Task / Compliance Management



Audit Mobile

For more information email us at [info@DrivingOE.com](mailto:info@DrivingOE.com) or call (713) 355-2900.